



0.4-04-02 164 S

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-659-A)

PATENT

In re Application of: Jing )

Serial No.: 09/995,515 )

Filed: November 28, 2001 )

For: Transforming Growth )  
Factor-Beta-Related Molecules )  
and Uses Thereof )

Before the Examiner: Unassigned

Group Art Unit: 1645

Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

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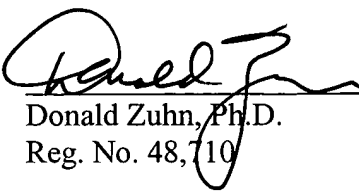
**TRANSMITTAL LETTER**

1. We are transmitting herewith the attached papers for the above-identified application:  
Copy of Notice of Omitted Parts in a Nonprovisional Application, Response to Notice of Omitted Parts, copy of Preliminary Amendment, Second Preliminary Amendment, and postcard.
2. GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES: Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2490.
3. CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" in an envelope addressed to: Commissioner for Patents, Washington D.C. 20231, on April 3, 2002.

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Dated: April 3, 2002

By:

  
Donald Zuhn, Ph.D.  
Reg. No. 48,710

TECH CENTER 1600/2900



Hon. Commissioner of  
Patents and Trademarks

09/995,515

Atty: DLZ/gb

Re: Applicant - Shuqian Jing

Case No. 00-659-A

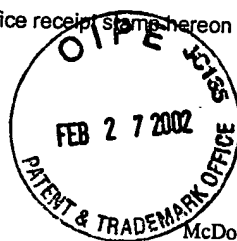
**TRANSFORMING GROWTH FACTOR-BETA-RELATED MOLECULES AND USES  
THEREOF**

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- ☒ Transmittal Letter
- ☒ Preliminary Amendment

Fee Enclosed  
\$ 0.00



Respectfully,  
McDonnell Boenhen Hulbert & Berghoff  
Attorney for Applicant

Date Mailed: February 14, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,515	02/27/2002	Shuqian Jing	00,659-A

CONFIRMATION NO. 1848

020306

MCDONNELL BOEHNEN HULBERT & BERGHOFF  
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SUITE 3200  
CHICAGO, IL 60606

## FORMALITIES LETTER



\*OC000000007673855\*

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Date Mailed: 03/20/2002

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) **1a,1b** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.


III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.



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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-659-A)

PATENT

In re Application of: Jing

Serial No.: 09/995,515

Filed: November 28, 2001

For: Transforming Growth  
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Before the Examiner: Unassigned

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Washington, D.C. 20231

Sir/Madam:

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**RESPONSE TO NOTICE OF OMITTED PARTS  
IN A NONPROVISIONAL APPLICATION**

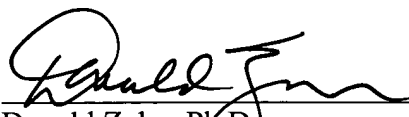
Responsive to the Notice of Omitted Parts in a Nonprovisional Application, mailed March 20, 2002, Applicants submit herewith a copy of the Preliminary Amendment, previously submitted on February 14, 2002, in which the inadvertent reference in the specification to "Figures 1A-1B" was deleted. Applicants further submit a Second Preliminary Amendment that complies with 37 C.F.R. § 1.121(b).

Applicants do not believe any additional fee is required. However, the Commissioner is authorized to charge any deficiency to Deposit Account No. 13-2490. If the Examiner believes it to be helpful, he or she is invited to contact the undersigned representative by telephone at (312) 913-0001.

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Dated: April 3, 2002

By:

  
Donald Zuhn, Ph.D.  
Reg. No. 48,710